

PER

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Shunichi SHIOZAWA et al.

Serial No. 09/936,989

Filed September 19, 2001

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Attn: BOX PCT

Docket No. 2001\_1298A

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TECH CENTER 1600/2900

RHEUMATOID ARTHRITIS GENE AND METHOD  
FOR DIAGNOSING RHEUMATOID ARTHRITIS

[Corresponding to PCT/JP00/01697

Filed March 21, 2000]

THE COMMISSIONER IS AUTHORIZED  
TO CHARGE ANY DEFICIENCY IN THE  
FEE FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0975.

SUBMISSION OF EXECUTED DECLARATION

Assistant Commissioner for Patents,  
Washington, DC 20231

Sir:

Enclosed herewith is an executed Declaration for the above-identified application which was originally filed without an executed Declaration.

The Declaration identifies the application by the Title, name of the inventors, and filing date of the application.

Accordingly, it is submitted that the present application now complies with the requirements of 37 CFR 1.53(d). Enclosed herewith is the \$130.00 surcharge required by 37 CFR 1.16(e).

Early issuance of a filing receipt is therefore requested.

Respectfully submitted,  
Shunichi SHIOZAWA et al.

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October 30, 2001

ATTY DOCKET #: 2001\_1298A

Due Date: n/a

OUR REF: 2001\_1298A/WMC/00653

Applicant: Shunichi SHIOZAWA et al.

Serial No.: 09/936,989 Filing Date: September 19, 2001

Title: RHEUMATOID ARTHRITIS GENE AND METHOD FOR DIAGNOSING  
RHEUMATOID ARTHRITIS

Receipt of the following papers is acknowledged:

PTO FEE SHEET, COVER LETTER (TO INCLUDE PTO FEE OF \$130.00), EXECUTED  
DECLARATION.

THE COMMISSIONER IS AUTHORIZED  
TO CHARGE ANY DEFICIENCY IN THE  
FEE FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0975.



Date: October 30, 2001

Attorney: WMC/dlk

[Check No. 47252 ]

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DEC 10 2001

TECH CENTER 1600/2900

<small>Commissioner For Patents, See PCT, United States Patent and Trademark Office, Washington, D.C. 20231, www.uspto.gov</small>		
U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/936,989	Shunichi Shiozawa	2001-1298A

000513  
 WENDEROTH, LIND & PONACK, L.L.P.  
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 SUITE 800  
 WASHINGTON, DC 20006-1021

INTERNATIONAL APPLICATION NO.

PCT/JP00/01697

I.A. FILING DATE

03/21/2000

PRIORITY DATE

03/20/1999

CONFIRMATION NO. 2860

371 FORMALITIES LETTER



\*OC000000006985910\*

Date Mailed: 10/30/2001

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Priority Document
- Biochemical Sequence Diskette
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Oath or Declaration
- Preliminary Amendments

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- \$130 Surcharge for providing the oath or declaration later than the appropriate 30 months from the priority date (37 CFR 1.492(e)) is required.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL**

**RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

**SUMMARY OF FEES DUE:**

Total additional fees required for this application is **\$130** for a Large Entity:

- **\$130** Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

PAULETTE R KIDWELL

Telephone: (703) 305-3656

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/936,989	PCT/JP00/01697	2001-1298A